

CRS: Self-Certification for Tax Residency

Entities

Reem Finance PJSC ('RF') is required to obtain confirmation of tax information, including tax residency of the Account Holder as part of RF's standard procedures. RF may be also required to report certain information with respect to the Account Holder's financial account(s) to the tax authority of the country where the Account Holder's account(s) is maintained. These obligations arise under regulation enacted in United Arab Emirates where RF operates.

Please read the Appendix 1 for instructions and for further information before completing this form.

Part 1 - Identification of Account Holder

CIN (Customer Identification Number): _____
 Tax Registration Number (TRN) _____
 Legal Name of Entity/Branch: _____
 Current Entity Residence Address: _____
 Street: _____ City: _____
 Emirate: _____ Country: _____

Part 2 - Entity type

Please indicate the type of your entity

- 1) Financial Institution - Investment Entity:
- a. Financial Institution (Depository Institution, Custodial Institution, Specified Insurance Company or Investment Entity in a CRS Participating Jurisdiction).
 - b. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution.
- 2) Non-Financial Entity:
- a. Active NFE – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation. Please give the name of the established stock market on which the company's shares are regularly traded:

 If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (Part 1) is a Related Entity of:

 - b. Active NFE – a Government Entity or Central Bank
 - c. Active NFE – an International Organization
 - d. Active NFE – other than (a), (b) or (c)
 - e. Passive NFE

If you have selected 1(a), please complete Part 5
 If you have selected 1(b), please complete Part 3, 4 and 5
 If you have selected 2(a), 2(b) or 2(c), please complete Part 5
 If you have selected 2(d), please complete Part 3 and 5
 If you have selected 2(e), please complete Part 3, 4 and 5

Part 3 - Country of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent ("TIN")

Please list all jurisdictions in which your entity is treated as tax resident and provide tax identification number for each one. If your entity is tax resident in more than four countries, please use a separate form.

Country of Tax Residency	Taxpayer Identification Number (TIN)	Reasons for not providing TIN (enter reason A or B)

Reason A - The country in where you are tax resident does not issue TINs to its residents
 Reason B - You are otherwise unable to obtain/disclose a TIN or equivalent number. Please explain why you are unable to obtain a TIN in the line below if you have selected this reason:

Signature:

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Part 4 - Controlling Person (CP) tax residency self-certification
(Please complete this section only if you have selected 1(b) or 2 (e) in Part 2 - Entity Type)

Please complete this table with the information related to all the Controlling Persons (see appendix 1 for the definition) of the account holder identified in part 1 of this document. If there are more than 3 Controlling Persons, please use a separate sheet.

Controlling Person 1	First Name:		Last Name:	
	Date of Birth:		Country of Birth:	City of Birth:
	Current Residence Address:			
	Building Name:		Villa / Flat No:	Street:
	City:		Emirates / State:	Country"
	Country of Tax Residence:		TIN:	
	Reasons for not providing TIN (A or B, refer to Part 3):			
	Did you obtain UAE tax residency under a residency by investment scheme?			
	Type of Controlling Person (Refer Appendix 2)			

Controlling Person 2	First Name:		Last Name:	
	Date of Birth:		Country of Birth:	City of Birth:
	Current Residence Address:			
	Building Name:		Villa / Flat No:	Street:
	City:		Emirates / State:	Country"
	Country of Tax Residence:		TIN:	
	Reasons for not providing TIN (A or B, refer to Part 3):			
	Did you obtain UAE tax residency under a residency by investment scheme?			
	Type of Controlling Person (Refer Appendix 2)			

Controlling Person 3	First Name:		Last Name:	
	Date of Birth:		Country of Birth:	City of Birth:
	Current Residence Address:			
	Building Name:		Villa / Flat No:	Street:
	City:		Emirates / State:	Country"
	Country of Tax Residence:		TIN:	
	Reasons for not providing TIN (A or B, refer to Part 3):			
	Did you obtain UAE tax residency under a residency by investment scheme?			
	Type of Controlling Person (Refer Appendix 2)			

Signature:

Part 5 - Declarations and Signature

I declare that I have read and understood the contents of this form and the attached instructions and confirm that the information & supporting documents submitted is complete & accurate. In the event any of the above information differs from RF's records, I request RF to update such records to reflect the information provided on this form.

I also acknowledge that any provided inaccurate or incorrect information will enforce a penalty of AED 20,000 on the account holder & controlling partners in reference to Cabinet Resolution No. 93 of 2021 and Ministerial Resolution No. 134 of 2021 & inline of UAE central bank instructions.

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with RF setting out how RF may use and share the information supplied by me.

I acknowledge, and undertake to inform the Controlling Persons identified herein, that I have provided the above information to RF in order for RF to comply with its legal obligations on reporting and exchanging financial information, and that the information provided by me will be stored by RF until it is required for this purpose. I further acknowledge, and undertake to inform the Controlling Persons, that the information contained in this form and information in relation to the Account Holder and any Reportable Account may be reported to the local tax authority and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder may be tax resident.

I certify that I am authorized to sign for the Account Holder in respect of all account(s) to which this form relates and have provided evidence of such authority.

The Account Holder shall notify RF within reasonable timeframe if there is a change of circumstances that affects the tax resident status of the Account Holder identified in this document or causes the information contained herein to become incorrect or incomplete (including any charges to the information on Controlling Persons identified in Part 4). In such circumstances the Account Holder agrees to provide an updated self-certification document.

Authorized Signatory:

Full Name:

Full Name:

Signature:

Signature:

Date:

Date:

Full Name:

Full Name:

Signature:

Signature:

Date:

Date:

Company Stamp / Seal:

APPENDIX 1 – Instructions and Useful Information

Self-Certification for Tax Residency – Entities

1. Why does the Account Holder need to complete this self-certification form?

Countries around the world have been engaging in the automatic exchange of information in order to tackle offshore tax evasion and other forms of non-compliance. Under certain regulations applicable to RF it is required to determine where the Account Holder is tax resident (usually where you are liable to pay worldwide income taxes). If the Account Holder is tax resident outside the country where its account is maintained, we may disclose this, along with information relating to the account, to the local tax authority. The disclosed information then may be shared between different countries' tax authorities.

2. What will RF do with my data?

Most of what you provide RF is required for managing the Account Holder's account(s). For all other data, RF will store it securely for a period of time until it is required to be reported in accordance with the relevant legislation. The confidentiality of the Account Holder's and Controlling Person's data will be maintained at all times and will only be exchanged or reported where RF has a legal obligation to do so.

3. In which case will data regarding the Account Holder's account be reported and what information will be disclosed to the local tax authority?

If the Account Holder and in certain scenarios the Controlling Person is a tax resident in a CRS (Common Reporting Standard) participating country, the following data may be disclosed to the local tax authority:

For Entities: name of the entity, address of the entity, taxpayer identification number(s) (the TIN), account number, account balance or value (as at a defined date), income (interest, dividends, other income) earned on the account(s), gross proceeds from any sale or redemption of an asset.

For Controlling Persons: name of the Controlling Person, address of the Controlling Person, date of birth, place of birth, taxpayer Identification Number(s) (the TIN), type of controlling person.

4. Who should complete this self-certification form?

This self-certification form refers to entities. If you are a natural person, please complete the "Self-Certification for Tax Residency – Individuals" form.

5. Who is a Controlling Person in an entity?

Controlling person is the natural person who ultimately owns or exercises control over the entity.

6. Does this form substitute any US tax related certifications?

No, it does not substitute the completion of any W-9 or FATCA self-certification forms that may otherwise be required for US tax purposes.

7. What is a TIN?

TIN (Taxpayer Identification Number) is an identifier assigned to the Account Holder/Controlling Person by the tax administration in the jurisdiction of the Account Holder's/Controlling Person's tax residence, and a unique combination of letters and/or numbers used to identify the Account Holder/Controlling Person for the purposes of administering the tax laws of that jurisdiction.

8. What is my obligation in case of any change in my circumstances?

The information provided in this form is deemed valid unless there is a change in circumstances relating to the information provided on the form that makes this form incorrect or incomplete. The Account Holder is required to ensure the information we hold about the Account Holder is up to date. In the event circumstances change the Account Holder must notify RF within a reasonable timeframe of such changes and provide an updated self-certification. We recommend the Account Holder always reviews all account statements and any other correspondence from RF carefully to ensure all details are correct.

9. What should I do if I cannot determine my tax residency?

Please contact your tax advisor or the local tax authority. RF does not provide tax advice.

Please review the relevant terms and conditions applicable to your account for further terms governing the Account Holder's relationship with Reem Finance PJSC. For any queries please contact your respective relationship manager.

APPENDIX 2 – Type of Controlling Person

- a. Controlling Person of a legal person – control by ownership
- b. Controlling Person of a legal person – control by other means
- c. Controlling Person of a legal person – senior managing official
- d. Controlling Person of a trust – settlor
- e. Controlling Person of a trust – trustee
- f. Controlling Person of a trust – protector of the trustee
- g. Controlling Person of a trust – beneficiary
- h. Controlling Person of a trust – other
- i. Controlling Person of a legal arrangement other than a trust – settlor (equivalent)
- j. Controlling Person of a legal arrangement other than a trust – trustee (equivalent)
- k. Controlling Person of a legal arrangement other than a trust – protector of the trustee (equivalent)
- l. Controlling Person of a legal arrangement other than a trust – beneficiary (equivalent)
- m. Controlling Person of a legal arrangement other than a trust – other (equivalent)